

# DENIED PARTIES SCREENING

The United Nations, European Union and United States maintain lists of individuals and businesses that cannot be shipped to because sanctions are in place due to their involvement in terrorist activity or other illegal acts. These are referred to as **Denied Parties**.

We are bringing this issue to your attention due to the serious legal implications of shipping to, or receiving from, a Denied Party. The consequences can be up to a **seven year prison sentence and a fine of up to £1 million** for every shipment sent or received. The **legal responsibility applies to everyone in the supply chain** and with new legislation introduced in April this year, increased powers to prosecute are now held by the Office of Financial Sanctions Implementation (OFSI), a part of HM Treasury.

You as a shipper have a legal obligation to ensure you are not sending to Denied Parties. If you are found to be shipping to, or receiving from, a Denied Party you are at risk of prosecution.

DHL also has the same legal obligations and therefore we would like to reassure you that **DHL has comprehensive Denied Parties screening processes in place, and all shipments sent with DHL Express are screened against the Denied Parties lists.**

**To enable DHL to quickly and accurately carry out this screening, we need your assistance in ensuring full names and addresses are provided for both the shipper and receiver on the waybill and any associated paperwork or invoices.**

The majority of shipments are screened and processed immediately, without any impact on transit times. If further information is needed to clarify shipper or receiver identity, DHL's Customer Service team will contact the DHL account holder to ascertain the required information. This may result in shipment delays, however, our aim is to promptly process shipments whilst still complying with these important legal regulations.

To put the severity of this requirement into context, instances of prosecutions globally include 20 year prison sentences for employees at four US companies, a \$1.19 billion fine for a telecoms company and a penalty of over \$232 million for an oil company guilty of facilitating trade with Iran and Sudan.

## FOR FURTHER INFORMATION

If you have questions regarding Denied Parties screening please contact your account manager.

