The following requirements must be met by the Supplier. They are not product or service specific. **Please note that documented evidence may be requested prior to and during the contract period. This includes policies, procedures, reports and accreditations.**

|  |  |  |  |
| --- | --- | --- | --- |
| **New Business Cyber Requirements** | **Yes** | **No** | **Comments** |
| **Cyber certificates** |  |  |  |
| * Cyber Essentials
 |  |  |  |
| * Cyber Essentials Plus
 |  |  |  |
| * ISO 27001
 |  |  |  |
| **Control Framework**  |  |  |  |
| * Ability to provide detail on control framework alignment
 |  |  |  |
| **Data Retention** |  |  |  |
| * Ability to provide a justification for length of retention and documented procedure on how data is destroyed (Operational requirements will determine the retention period)
 |  |  |  |
| **Governance & Risk Management**  |  |  |  |
| * Documented policies and procedures in support of information security for the product/service provided
 |  |  |  |
| * Internally and externally reporting procedure for cyber security incidents
 |  |  |  |
| * Risk Management documentation, procedures, accountability and other applicable processes
 |  |  |  |
| * Implement data protection by design and default – early consideration of data protection risks captured in DPIA
 |  |  |  |
| **Asset Management**  |  |  |  |
| * Data and information will be processed inside EEA. **Provider must provide details otherwise**
 |  |  |  |
| **Supplier Management** |  |  |  |
| * Appropriate controls and measures to safeguard data (e.g. software, hardware, servers, comms rooms and data centres)
 |  |  |  |
| * Can demonstrate all 3rd party involvement in the delivery is risk managed, has appropriate assurances and data sharing agreements to mitigate cyber security risks
 |  |  |  |
| **Access Management**  |  |  |  |
| * Documented process on authentication, privileged access and accounts and user management procedures
 |  |  |  |
| * Joiners and leavers access management procedure/policy
 |  |  |  |
| * Multi-factor authentication exists
 |  |  |  |
| * Single Sign On exists
 |  |  |  |
| * Appropriate work from home policy
 |  |  |  |
| * Documented password policy, embedded within training
 |  |  |  |
| **Device Management** |  |  |  |
| * Appropriate network access controls, including revoking access procedures
 |  |  |  |
| **Threat and Vulnerability**  |  |  |  |
| * System and Organization Controls (SOC) Report
 |  |  |  |
| * Conducts threat & vulnerability scanning at least quarterly
 |  |  |  |
| * Penetration Testing Report
 |  |  |  |
| **Additional comments:** |
|   |

|  |  |  |
| --- | --- | --- |
| **Data Security** |  |  |
| * Data is protected using current, proportionate products and standards (including a policy/procedure to reflect)
 | Yes |  |
| * Information classification policy
 | Yes |  |
| **System Security – Secure Design & Configuration** |  |  |
| * Documented network segregation and firewall controls
 | Yes |  |
| * Relevant audits on all system security
 | Yes |  |
| * Software installation and file download controls
 | Yes |  |
| **Secure Management** |  |  |
| * Anti-malware capability, policy and procedure
 | Yes |  |
| **Vulnerability Management** |  |  |
| * Vulnerability scanning and reporting capability with the ability to respond
 | Yes |  |
| * Can demonstrate up to date practises on monitoring of threats, vulnerabilities and exploitation techniques.
 | Yes |  |
| * Devices, servers and appliances must be kept up to date. Provide appropriate patching timescales.
 | Yes |  |
| * Penetration Testing timeframes and accessible reports
 | Yes |  |
| **Resilience Networks and Systems**  |  |  |
| * Documented and tested Disaster Recovery Plan
 | Yes |  |
| * Documented and tested Business Continuity Plan
 | Yes |  |
| * Data back-up policy
 | Yes |  |
| **Self-Awareness & Training**  |  |  |
| * Confirmation of staff Information security training and awareness
 | Yes |  |
| **Detecting Security Events** |  |  |
| * Event logging system of incidents, monitored, assign, prioritised, actioned and with the ability to respond
 | Yes |  |
| **Minimising the impact of security Incidents**  |  |  |
| * Incident management policy including clearly defined roles and responsibilities
 | Yes |  |
| * Policies and procedures to collect evidence and report incidents to the appropriate authorities
 | Yes |  |